

P21012.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21012	Total Pages	
Inventor(s) or Application Identifier Koichi MARUYAMA			PRO
Title: OBJECTIVE LENS FOR OPTICAL PICK-UP			JC929 U.S. PTO 09/918440 08/01/01

ADDRESS TO:

**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

<b>APPLICATION ELEMENTS</b>		<b>ACCOMPANYING APPLICATION PARTS</b>	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	<input type="checkbox"/> Computer Readable Form (CRF) <input type="checkbox"/> Specific Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <input type="checkbox"/> Statements verifying identity of above copies
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	a. <input type="checkbox"/> Small Entity Statement(s)	10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	<input type="checkbox"/> Power of Attorney
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	[Total Pages 28 ]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	12. <input type="checkbox"/> English Translation Document (if applicable)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4 ]		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3 ]	<input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]	14. <input type="checkbox"/> Preliminary Amendment	
	i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		16. <input checked="" type="checkbox"/> Figure of Drawing to be published 1A	
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		18. <input checked="" type="checkbox"/> Assignee: ASAHI KOGAKU KOGYO ABUSHIKI KAISHA of Tokyo, JAPAN	
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. / , filed . Prior application information: Examiner: Group Art Unit: _____	19. <input type="checkbox"/> Other: _____ _____ _____ _____		
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a continuation-in-part, continuation, divisional, of Application No. / , filed .			

Address all future correspondence to Customer No. 7055 at the present address of:

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8/1/01

Date

  
 Signature  
 33,329

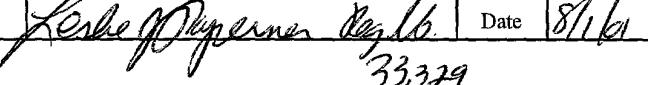
 Bruce H. Bernstein, Reg No. 29,027  
 Typed or Printed Name


07055

PATENT TRADEMARK OFFICE

**FEE TRANSMITTAL**

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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u>			<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>_____</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td>_____</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>_____</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>_____</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR</td><td>_____</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Prior to Examiner action</td><td>_____</td></tr> <tr><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after</td><td>_____</td></tr> <tr><td></td><td></td><td></td><td></td><td>Examiner action</td><td>_____</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within 1st month</td><td>_____</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for response within 2nd month</td><td>_____</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for response within 3rd month</td><td>_____</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for response within 4th month</td><td>_____</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for response within 5th month</td><td>_____</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>_____</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>_____</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>_____</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>_____</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td>_____</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive unintentionally abandoned application</td><td>_____</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td>_____</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td>_____</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td>_____</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>_____</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>_____</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of IDS</td><td>_____</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>_____</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>_____</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td>_____</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td>_____</td></tr> <tr> <td colspan="3"></td> <td colspan="3">Other fee (specify) _____</td> </tr> <tr> <td colspan="3"></td> <td colspan="3">Other fee (specify) _____</td> </tr> <tr> <td colspan="3"></td> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (3) (\$)</b> <u>40</u></td> </tr> <tr> <td colspan="6" style="text-align: center;">*Reduced by Basic Filing Fee paid</td> </tr> </tbody></table>			Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	_____	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	_____	139	130	139	130	Non-English specification	_____	147	2,520	147	2,520	For filing a request for reexamination	_____	112	920*	112	920*	Requesting publication of SIR	_____	113	1,840*	113	1,840*	Prior to Examiner action	_____					Requesting publication of SIR after	_____					Examiner action	_____	115	110	215	55	Extension for response within 1st month	_____	116	390	216	195	Extension for response within 2nd month	_____	117	890	217	445	Extension for response within 3rd month	_____	118	1,390	218	695	Extension for response within 4th month	_____	128	1,890	228	945	Extension for response within 5th month	_____	119	310	219	155	Notice of Appeal	_____	120	310	220	155	Filing a brief in support of an appeal	_____	121	270	221	135	Request for oral hearing	_____	138	1,510	138	1,510	Petition to institute a public use proceeding	_____	140	110	240	55	Petition to revive unavoidably abandoned application	_____	141	1,240	241	620	Petition to revive unintentionally abandoned application	_____	142	1,240	242	620	Utility issue fee (or reissue)	_____	143	440	243	220	Design issue fee	_____	144	600	244	300	Plant issue fee	_____	122	130	122	130	Petitions to the Commissioner	_____	123	50	123	50	Petitions related to provisional applications	_____	126	240	126	240	Submission of IDS	_____	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	_____	149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	_____	179	710	279	355	Request for Continued Examination (RCE)	_____	169	900	169	900	Request for expedited examination of a design application	_____				Other fee (specify) _____						Other fee (specify) _____						<b>SUBTOTAL (3) (\$)</b> <u>40</u>			*Reduced by Basic Filing Fee paid					
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<b>SUBMITTED BY</b>			<b>Complete (if applicable)</b>	
Typed or Printed Name	Bruce H. Bernstein		Reg. Number	29,027
Signature			Date	8/1/61
				Deposit Account User ID <u>33,329</u>